

FEB 03 2005

PTO/SB/97 08-00)

Approved for use through 10/31/2002. OMB 0651-0031

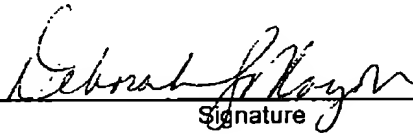
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Date

  
Signature

Deborah J. Mouzon

Typed or printed name of person signing Certificate

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Attorney Docket # 1943.ALC  
In re Application: RODRIGUES, Klein A Group Art 1773  
Application Number: 09/920,498 Filed: 08/01/2001 Examiner: TARAZANO, Donald L.

For: HYDROPHOBICALLY MODIFIED SOLUTION POLYMERS AND THEIR USE IN  
SURFACE PROTECTING FORMULATIONS

Enclosed are: Fee Transmittal FY '2005  
Request to Correct Inventorship Under 37 C.F.R. § 1.1.48(a)  
Petition to Correct Inventorship Under 37 C.F.R. § 1.1.48(a)  
Substitute Declaration - 3 pgs.  
Assignee's Consent Under 37 C.F.R. § 1.1.48(a)

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.  
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# FEE TRANSMITTAL

## For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	09/920,498
Filing Date	1 August 2001
First Named Inventor	Rodrigues, Klein A. et al.
Examiner Name	Tarazano, Donald L.
Art Unit	1773
Attorney Docket No.	1943.ALC

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_  
☒ Deposit Account Deposit Account Number: 14-0455 Deposit Account Name: \_\_\_\_\_  
 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  
☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

## 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims: \_\_\_\_\_ Extra Claims: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_  
 HP = highest number of total claims paid for, if greater than 20.  
 Indep. Claims: \_\_\_\_\_ Extra Claims: \_\_\_\_\_ Fee (\$): \_\_\_\_\_ Fee Paid (\$): \_\_\_\_\_  
 HP = highest number of independent claims paid for, if greater than 3.

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

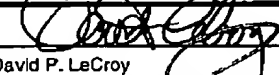
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____	_____	_____

\_\_\_\_\_ - 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

## 4. OTHER FEE(S)

Description	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): Processing fee Under C.F.R. § 1.17(a)	\$50.00

## SUBMITTED BY

Signature		Registration No. 37,869 (Attorney/Agent)	Telephone 908-685-5433
Name (Print/Type)	David P. LeCroy	Date 02/02/05	

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket No. 1943.ALC  
PATENT APPLICATION

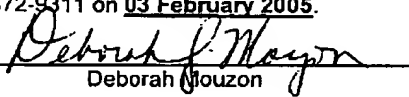
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: RODRIGUES, Klein A. *et al.*  
SERIAL NO.: 09/920 498 GROUP ART UNIT: 1773  
FILED: 1 August 2001 EXAMINER: TARAZANO, Donald Lawrence  
TITLE: HYDROPHOBICALLY MODIFIED SOLUTION POLYMERS AND  
THEIR USE IN SURFACE PROTECTING FORMULATIONS

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CERTIFICATE of TRANSMISSION UNDER 37 C.F.R. § 1.8

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Deborah J. Mouzon

Mail Stop Fee Amendment  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Dear Sir:

Pursuant to Rules 48(a), Applicants respectfully request that the inventorship of the above captioned United States Patent Application be amended to add the following inventors, whose names were omitted through error without any deceptive intention on the part of the omitted inventors –

Martin Crossman, Hixson, TENNESSEE

The subject application was filed in the name of Klein A. Rodrigues and Michael Eknoian. Following the filing of the subject application, it was discovered that the above inventor should also have been named as an inventor. Failure to include Martin Crossman as an inventor was inadvertent and made without deceptive intent.

Jointly filed with this Request are the following documents required under 37 C.F.R. § 1.48

(a):

- (1) a petition signed by Martin Crossman that includes a statement that the error in inventorship occurred without deceptive intention his part;
- (2) a declaration signed by the actual inventors as required by 37 C.F.R § 1.63;
- (3) a fee transmittal authorizing the Office to charge the processing fees pursuant to Rule 17(q) to Applicants' Deposit Account; and
- (4) the written consent of National Starch and Chemical Investment Holding Corporation, an assignee of the subject application, as required by 37 C.F.R. § 3.73 (b).

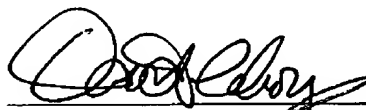
Favorable and early action is respectfully solicited.

Respectfully submitted,

Dated:

2 February 2005

NATIONAL STARCH AND CHEMICAL  
COMPANY  
10 Finderne Avenue  
Bridgewater, New Jersey 08807  
Phone 908.685.5433  
Fax 908.707.3706



David P. LeCroy  
Registration No. 37,869  
Attorney for Applicant(s)

Attorney Docket No. 1943.ALC  
PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

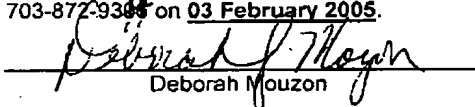
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FEB 03 2005

APPLICANTS: RODRIGUES, Klein A. *et al.*  
SERIAL NO.: 09/920 498 GROUP ART UNIT: 1773  
FILED: 1 August 2001 EXAMINER: TARAZANO, Donald Lawrence  
TITLE: HYDROPHOBICALLY MODIFIED SOLUTION POLYMERS AND  
THEIR USE IN SURFACE PROTECTING FORMULATIONS

CERTIFICATE of TRANSMISSION UNDER 37 C.F.R. § 1.8

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Deborah Mouzon

Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Dear Sir:

I, Martin Crossman, hereby declare –

The subject application was filed in the United States Patent and Trademark Office on 1  
August 2001.

Following the filing of this application it was discovered that I should have been named as an  
inventor, along with Klein A. Rodrigues and Michael Eknoian.

The original and first inventors of the subject matter claimed and for which a patent is sought,  
as evidenced by the accompanying declaration (37 C.F.R. § 1.63), are believed to be Klein A.  
Rodrigues, Michael Eknoian and Martin Crossman.


The error in inventorship occurred without deceptive intent on my part.

I further declare that all statements made herein of my own knowledge are true and that all  
statement made on information and belief are believed to be true; and further that these statements  
were made with the knowledge that willful false statements and the like so made are punishable by a

fine or imprisonment or both under 1001 of Title 18 of the United States Code and such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Dated: 27 Jan 2005

  
\_\_\_\_\_  
Martin Crossman

**PATENT APPLICATION**  
Attorney Docket No. 1943.ALC

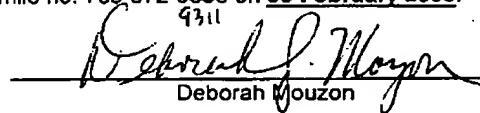
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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FEB 03 2005

**APPLICANTS:** RODRIGUES, Klein A. *et al.*  
**SERIAL NO.:** 09/920 498      **GROUP ART UNIT:** 1773  
**FILED:** 1 August 2001      **EXAMINER:** TARAZANO, Donald Lawrence  
**ENTITLED:** HYDROPHOBICALLY MODIFIED SOLUTION POLYMERS AND  
THEIR USE IN SURFACE PROTECTING FORMULATIONS

CERTIFICATE of TRANSMISSION UNDER 37 C.F.R. § 1.8

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Deborah J. Mouzon

Commissioner for Patents  
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Alexandria, Virginia 22313-1450

ASSIGNEE'S CONSENT UNDER 37 C.F.R. § 1.48(a)

Dear Sir:

The undersigned officer of National Starch and Chemical Investment Holding Corporation, an assignee of the subject application by virtue of the assignment document executed on 20 July 2001 by inventors Klein A. Rodrigues and Michael Eknoian, hereby consents to the addition of Martin Crossman as inventor.

As signatory below, I hereby state that I am authorized to act on behalf of National Starch and Chemical Investment Holding Corporation.

Dated: JAN. 28, 2005

NATIONAL STARCH AND CHEMICAL  
INVESTMENT HOLDING CORPORATION  
1000 Uniqema Boulevard  
New Castle, Delaware 19720

Barbara S. Curran

Barbara S. Curran, Secretary